

28w/1755

In re the Application of: **Yasushi KURATA et al.**

Group Art Unit: **1755**

Application Number: **10/517,049**

Examiner: **George A. GOUDREAU**

Filed: **December 3, 2004**

Confirmation Number: **4277**

For: **POLISHING SLURRY AND POLISHING METHOD**

Attorney Docket Number: **043062**

Customer Number: **38834**

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. §1.97(b)**

Mail Stop Amendment  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

March 16, 2007

Sir:

In compliance with 37 C.F.R. §1.56, Applicants direct the attention of the Patent and Trademark Office to the documents listed on the attached PTO/SB/08. This paper is being filed within the time periods set forth in 37 C.F.R. §1.97(b). A copy of each non-U.S. document is enclosed herewith.

In compliance with the concise explanation requirement for foreign language documents under 37 C.F.R. §1.98(a)(3), an English language Abstract of JP2001-031953 is enclosed. Additionally, JP2001-031953 is cited and discussed in an Office Action dated December 15, 2006 issued in a corresponding Chinese Patent Application (enclosed with English translation).

In further compliance with the concise explanation requirement for foreign language documents, WO/0113417 is also cited and discussed in the Chinese Office Action. WO/0113417

Information Disclosure Statement under 1.97(b)

Attorney Docket No. 043062

Serial No. 10/517,049

was listed in the IDS filed December 3, 2004. Further, US 2006/0037251 is a related family document to WO/0113417.

US 6,653,267 is a related family document to EP1167482.

US 6,599,837 is a related family document to JP2001-291684, previously cited in the IDS filed December 3, 2004.

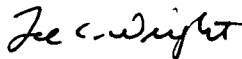
US 4,954,142 is a related family document to JP2-278822, previously cited in the IDS filed December 3, 2004.

US 5,476,606 and US 5,391,258 are related family documents to WO94/29194 and JP2-819196 previously cited in the IDS filed December 3, 2004.

If there are any fees due in connection with the filing of this paper, please charge Deposit Account No. 50-2866.

Respectfully submitted,

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Enclosures: PTO/SB/08  
Document(s)



Combined Form PTO/SB/08A&amp;B

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet

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of

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Complete if Known

Application Number	10/517,049
Confirmation Number	4277
Filing Date	December 3, 2004
First Named Inventor	Yasushi KURATA et al.
Art Unit	1755
Examiner Name	George A. GOUDREAU
Attorney Docket Number	043062

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code <sup>2</sup> (if known)		
/KC/	1.	US 6,653,267	B2	11-25-2003	Yano et al.
/KC/	2.	US 6,599,837	B1	07-29-2003	Merchant et al.
/KC/	3.	US 4,954,142		09-04-1990	Carr et al.
/KC/	4.	US 5,476,606		12-19-1995	Brancaleoni et al.
/KC/	5.	US 5,391,258		02-21-1995	Brancaleoni et al.
/KC/	6.	US 2006/0037251	A1	02-23-2006	Kurata et al.

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			
/KC/	7.	JP	2001-031953		02-06-2001	TOKUYAMA CORP	Abstract
/KC/	8.	EP	1 167 482	A2	01-02-2002	KABUSHIKI KAISHA TOSHIBA et al.	

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>
/KC/	9.	Chinese Office Action dated December 15, 2006, issued in corresponding Chinese Patent Application Number 038127830.	Full

Examiner Signature

/Kin Chan Chen/

Date Considered

03/25/2009

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov), MPEP 901.04 or in the comment box of this document. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to indicate here if English language Translation is attached.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /KC/